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jc966 U.S. PTO



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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

Attorney Docket No.		NDG1160		C/M # 103510-165124	
First Inventor or Application Identifier:		CRAIG ANTHONY FARRELL			
Title:		METHOD AND APPARATUS FOR APPLICATION ROUTE DISCOVERY			
Express Mail Label No.:		EL233952676US			
<b>Application Elements</b> (See MPEP chapter 600 concerning utility patent application contents)			ADDRESS TO: Assistant Commissioner For Patents BOX PATENT APPLICATION Washington, D.C. 20231		
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, & duplicate for fee processing) 2. <input checked="" type="checkbox"/> Applicant claims small entity status 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>14</u> ] (preferred arrangement set forth below) <ul style="list-style-type: none"> <li>• Descriptive title of the Invention</li> <li>• Cross References to Related Applications</li> <li>• Statement Regarding Fed sponsored R&amp;D</li> <li>• Background of the Invention</li> <li>• Brief Summary of the Invention</li> <li>• Brief Description of the Drawings (if filed)</li> <li>• Detailed Description</li> <li>• Claim(s)</li> <li>• Abstract of the Disclosure</li> </ul> 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets <u>3</u> ] 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u> ] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies <b>ACCOMPANYING APPLICATION PARTS</b> 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power Of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS/PTO 1449) <input checked="" type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment (___ pgs.) 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input checked="" type="checkbox"/> Express Mail Certification 17. <input checked="" type="checkbox"/> Request And Certification under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 18. <input checked="" type="checkbox"/> OTHER: Check # _____ (\$355.00)		
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-In-Part of prior application no.: ____ / ____ Prior application information: Examiner: _____ Group/Art Unit: _____					
<b>18. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number (25548) Or Bar Code Label OR <input type="checkbox"/> Correspondence Address Below					
NAME		ATTN: Terrance A. Meador GRAY CARY WARE & FREIDENRICH			
ADDRESS		401 B Street, Suite 1700 San Diego, California 92101 USA			
Telephone: 619/699-2652		General Fax No.: 619-236-1048		Patent Group Fax No.: 619/699-3452	
Name (print/type)		Terrance A. Meador		Registration No.: 30,298 (Attorney/Agent)	
Signature		<i>Terrance A. Meador</i>		Date 9 March 2001	

11040 U.S. PTO

09/803705



03/09/01

## FEE TRANSMITTAL

Attorney Docket No.	NDG1160
First Named Inventor:	CRAIG ANTHONY FARRELL
Application Number	Unknown
Filing Date:	Herewith
Examiner Name:	Unknown
Group/Art Unit:	Unknown

<b>TOTAL AMOUNT OF PAYMENT:</b>	<b>\$ 355.00</b>
<b>METHOD OF PAYMENT (check One)</b>	1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and/or credit any over payment to:  Deposit Account No.: 07-1895 Deposit Account Name: GRAY CARY WARE & FREIDENRICH  <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17  2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other

### 2. UTILITY Basic Filing Fee & Claims

(1) For	(2) No. filed	(3) No. extra	(4) Large Entity	(5) Small Entity	(6) Calculations
Basic Filing Fee	XX	XX	\$ 710.00	\$355.00	\$ 355.00
Total Claims	15 - 20 =	0	X \$ 18.00	X \$ 9.00	\$ 0.00
Independent Claims	2 - 3 =	0	X \$ 80.00	X \$ 40.00	\$ 0.00
Multiple Dependent Claim(s) (if applicable)			\$ 270.00	\$135.00	\$ 000.00
<b>Total of above Calculations =</b>					<b>\$ 355.00</b>

Basic Filing Fee	Large Entity	Small Entity	Total
Design filing fee	\$ 320.00	\$ 160.00	\$ 000.00
Reissue filing fee	\$ 710.00	\$ 355.00	\$ 0.00
Provisional filing fee	\$ 150.00	\$ 75.00	\$ 0.00
<b>Total of above Calculations =</b>			<b>\$</b>

### 3. ADDITIONAL FEES

Fee Description	Large Entity	Small Entity	Other
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
<b>TOTAL:</b>			<b>\$</b>

Name (print/type)	Terrance A. Meador	Registration No.: (Attorney/Agent)	30,298
Signature	<i>Terrance A. Meador</i>	Date	9 March 2001

PTO/SB/35

**REQUEST AND  
CERTIFICATION UNDER  
35 U.S.C. 122 (b)(2)(B)(i)**

**First Named  
Inventor**

Craig Anthony Farrell

**Title**

**Method and Apparatus for Application  
Route Discovery**

**Atty. Docket No.**

**NDG1160**

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Date

9 March 2001

Signature

Terrance A. Meador

TERRANCE A. MEADOR, Reg. No. 30,298

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing data for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Serial No. NEW File No. PDG1160 By Atty TAM/JK/mes  
103510-165124  
In the Matter of Application/Patent of Craig Anthony Farrelly  
Date Mailed 9 March 2001 Due Date N/A

The following has been received in the U.S. Patent Office on the date stamped hereon.

<input checked="" type="checkbox"/> Application (type) <u>UFA - 14 pgs</u>	<input type="checkbox"/> Notice to File Missing Parts Form
<input checked="" type="checkbox"/> Oath/Declaration	<input type="checkbox"/> Amendment/Response
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Extension of Time Request ( <u>  </u> mos.)
<input type="checkbox"/> Assignment	<input type="checkbox"/> Certificate of Mailing
<input type="checkbox"/> Small Entity Declaration	<input checked="" type="checkbox"/> Express Mail Certificate <u>EL2 3395267645</u>
<input checked="" type="checkbox"/> Drawings <u>3</u> Sheets	<input type="checkbox"/> Issue Fee Transmittal Form
<input type="checkbox"/> Formal	<input type="checkbox"/> Maintenance Fee Form
<input type="checkbox"/> Informal	<input type="checkbox"/> Notice of Appeal
<input checked="" type="checkbox"/> Letter (Transmittal) <u>UFA - FEE</u>	<input type="checkbox"/> Petition for <u>                    </u>
<input type="checkbox"/> Deposit Account Form/Paragraph	<input type="checkbox"/> Affidavit/Declaration <u>                    </u>
<input checked="" type="checkbox"/> Check (Amount <u>355 -</u> )	
<input checked="" type="checkbox"/> Information Disclosure Statement	
<input checked="" type="checkbox"/> Form PTO-1449 - <u>9</u> Refs	<input checked="" type="checkbox"/> Other: <u>Request &amp; Certification Under</u> <u>35 USC 122(b)(2)(B)(i)</u>

Title: Method and Apparatus for Application Route Discovery

**EL233952676US**

"EXPRESS MAIL" MAILING LABEL NO.                     

DATE OF DEPOSIT: 9 March 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

Antoinette Littlefield  
NAME

[Signature]  
SIGNATURE